

## NEW YORK DFS REG 187 REQUIREMENTS AND RESOURCES - ANNUITY

Carrier	Offers Annuities in New York?	Updated Selling Agreement?	Carrier Communication	Approved Training Providers
AIG	Yes	No	<a href="#">AIG Bulletin</a>	Kaplan Quest CE RegEd Success CE
AXA	Yes*		NA	
Brighthouse Financial	Yes*		NA	
Foresters Financial	No		NA	
Global Atlantic	No		NA	
John Hancock	No		NA	
Lincoln Financial	Yes	Negative Consent Letter****	<a href="#">Lincoln Bulletin</a>	Kaplan LIMRA***** RegEd
Mutual of Omaha	No		NA	
Nationwide	No	NA	<a href="#">Nationwide Bulletin</a>	Kaplan LIMRA***** RegEd
New York Life	Yes**		NA	
OneAmerica	No		NA	
Principal	Yes	No	None	<a href="#">Per NY DFS Approved List</a>
Protective Life	Yes	Negative Consent Letter****	<a href="#">Protective Bulletin</a>	<a href="#">Per NY DFS Approved List</a>
Prudential	Yes***		NA	
Securian Financial	Yes	No	<a href="#">Securian Bulletin</a>	LIMRA***** <a href="#">Per NY DFS Approved List</a>
Symetra Financial	Yes		<a href="#">Symetra Bulletin</a>	Kaplan RegEd
Transamerica	Yes	Yes	<a href="#">Transamerica Bulletin</a>	<a href="#">Per NY DFS Approved List</a>
Zurich	No		NA	

## NEW YORK DFS REG 187 TRAINING PROVIDERS

Approved Training Providers*	Course Details
<a href="#">RegEd/CE Authority</a>	Best Interest of Clients in Life Insurance or Annuity Transactions: NY Reg 187-1 Hour Course (485_NY) <span style="float: right;">*** PREFERRED VENDOR ***</span>
<a href="#">Kaplan</a>	New York Suitability and Best Interests in Life Insurance and Annuity Transactions Non-Resident New York Producer Course: New York Suitability and Best Interests in Life Insurance and Annuity Transactions [not for CE credit]
LIMRA	Understanding Best Interest: NY Reg 187 for Producers**
<a href="#">Quest CE</a>	New York's Best Interest Amendment to Regulation 187 (CE)
<a href="#">Success CE</a>	NY Reg 187 - Suitability & Best Practices in Life Insurance & Annuity Transactions